

استمارة تسجيل ممثلو الوسطاء

REGISTRATION OF MEMBERS REPRESENTATIVES APPLICATION

بورصة البحرين
BAHRAIN BOURSE



معلومات عامة عن الشركة GENERAL COMPANY INFORMATION

Application Date	تاريخ تقديم الطلب
Member/ Company Name	اسم العضو/الشركة
Licensed under CBB Rulebook No.	مرخص تحت مجلد قواعد مصرف البحرين المركزي رقم.
Registered Office Address	العنوان المسجل للمكتب

تصريح من قبل ممثلو الوسطاء Appointment and Registration of Members Representatives

We are desirous of appointing and registering the following person as a Member Representative upon the terms and subject in all respects to the Rules of BHB which are now in force, as may be amended from time to time and all directives, ruling and guidelines issued by BHB.

Name	الاسم
Date of Birth	تاريخ الولادة
Male / Female	ذكر / أنثى
CPR / Passport Number	رقم بطاقة الهوية / جواز السفر
Address	العنوان

Designation	المسمى الوظيفي
Academic Qualification	المؤهل العلمي

We enclose herewith:

1. An undertaking by the above named in the prescribed form; and
2. The non-refundable fee of BD 44

Key Authorized Person Name
Position
Date
Signature
Corporate Stamp

Required Documents

1. Copy of CPR and Passport.
2. Copy of Series 7 and /or Series 79 Certificate (If applicable).
3. A copy of Curriculum Vitae (CV) of the applicant.
4. A copy of non-objection from the CBB/Home Market Securities Regulator (If any).

تصريح من قبل ممثل الوسيط DECLARATION UNDERTAKEN BY A 9A 69F 99F 93B H H 9

To: BAHRAIN BOURSE B.S.C. ("BHB")

In consideration of BHB approving the application for my registration with BHB as a [Member Representative*] in accordance with the Rules of Bahrain Bourse ("the Rules"), I hereby irrevocably and unconditionally undertake and agree as follows:-

- (a) To be bound in all respects by and to comply with the Rules which now are or may hereafter be in force and all directives rulings and guidelines issued by BHB in so far as they apply directly or indirectly to a A Ya Vff Fydygchj Y;
- (b) To indemnify and keep BHB fully indemnified from and against all losses, damages, costs, expenses, actions and claims or otherwise which BHB may incur arising out of any act or omission on my part or failure by me to comply with any provisions in the Rules and/or any directives and guidelines issued by BHB; and
- (c) Notwithstanding my cessation to be registered as a Member Representative with BHB for any reason whatsoever, I further undertake to continue to be bound by the Rules which now are or may hereafter be in force and all directives rulings and guidelines issued by BHB in so far as they may relate to any act or omission that I may, in the opinion of BHB, have committed or omitted to do during the period of my registration with BHB.

I hereby acknowledge that the approval of the application for my registration as a Member Representative with BHB hereunder, or any indulgence or delay in making any demand or instituting any action by BHB shall not constitute or be deemed to operate as a waiver by BHB of any of its/their rights hereunder or under the Rules.

Applicant Name:

Applicant Signature:

FOR BHB INTERNAL USE ONLY

للاستخدام الداخلي فقط

Application Received on	تاريخ استلام الاستمارة
Received by	استلم من قبل
Application Status	وضع الاستمارة
Complete	مكتمل
Not Complete	غير مكتمل
Director of Trading Operations	توقيع مدير إدارة
Directorate Signature	عمليات التداول
CEO's Signature	توقيع الرئيس التنفيذي

المستندات المطلوبة

1. نسخة من بطاقة الهوية وجواز السفر .
2. نسخة من شهادة Series 7 و Series 79 (إن وجد) .
3. نسخة من السيرة الذاتية لمقدم الطلب .
4. نسخة من رسالة عدم ممانعة مصرف البحرين المركزي/هيئة الأوراق المالية في السوق المحلية (إن وجد).