

# MEMBER SERVICES APPLICATION FORM

## MEMBER INFORMATION

Application Date:  
Member Name:  
Telephone No.:  
Fax No.:  
Address:

## AUTHORIZED PERSON INFORMATION

Authorized Person Name:  
Designation:  
Telephone No.:  
Mobile No.:  
Email Address:

### 1. LEASING OFFICES IN BAHRAIN BOURSE

Permanent Office <sup>1</sup> BD 200/Office/Month  
Number of Office(s)  
Temporary Office BD 50/Office/Day  
Number of Office(s)  
Trading Floor Service  
Start Date: BD 50/Month  
End Date:

### 2. TRADING SYSTEM SETUP <sup>2</sup>

From BHB Office BD 200/PC/Setup plus  
Number of PC(s) BD50/Connection/  
PC/Month  
Remote Trading BD 200/PC/Setup plus  
BD50/Connection/  
PC/Month  
BD 150/Remote Trading/Month  
Location (1)  
Address:  
Connectivity Type:  
Lease Circuit  
VPN  
No. of PC(s)  
Location (2)  
Address:  
Connectivity Type:  
Lease Circuit  
VPN  
No. of PC(s)  
Location (3)  
Address:  
Connectivity Type:  
Lease Circuit  
VPN  
No. of PC(s)

### 3. TRADING SYSTEM ACCESS CODE <sup>3</sup>

Total Number of Users BD 50/User/Month  
Type of Access  
Trader:  
Firm View:  
Firm Manager:  
Remote Trader:  
Remote Firm View:  
Remote Firm Manager:  
Online Trader:  
Online Firm View:  
Online Firm Manager:

### 4. ONLINE TRADING SETUP

Online Trading Fix Setup BD 1,000/Setup  
Number of Setup(s)  
Online Trading Service  
BD 100/Request/Month

### 5. OTHER SERVICES

Digital Advertising On BHB Trading Floor BD 10/Business Day/ message capped at BD 150/ Month  
Number of Day(s):  
Number of Month(s):  
Test Environment BD 25/Day  
(System & Connectivity)  
Number of Day(s):  
Start Date:  
End Date:  
Brokerage Companies BD 500/Each Party  
Merger  
Change of Company Name <sup>4</sup> BD 200/Request  
Issued Reports BD 1/Page capped at  
Hard Copy BD50/Report  
Soft Copy  
Number of Page(s)

<sup>1</sup> Includes 1 X-Stream User Access for free & 1 Equator User Access for Free from Bahrain Clear. Trading Floor Service Fee will be waived in the event of leasing a permanent office.

<sup>2</sup> In the event that Members have both Trading & Equator System on the same PC, Only one connectivity cost shall apply.

<sup>3</sup> (Trader, Firm Viewer, Firm Manager) require trading floor access.

<sup>4</sup> This Fee is not applicable in the Event of a Merger.



## INSTRUCTIONS:

1. Applicants must fill out all required fields.
2. Failure to fill out this application form as required may result in a delay in processing the application.
3. Any information provided to Bahrain Bourse which is false or misleading in connection with this application may result in the rejection of the application.
4. Please refer to the Appendix for the technical requirements relating to certain services.
5. Queries may be addressed to the Trading Operations Directorate by phone or email:

+973 17 108-778      [membersaffairs@bahrainbourse.com](mailto:membersaffairs@bahrainbourse.com)

6. The **Original** application form should be submitted to BHB on the following address:

**Trading Operations Directorate**  
**Bahrain Bourse**  
**Bahrain Financial Harbour**  
**Harbour Mall (4<sup>th</sup> Floor)**  
**P.O. Box: 3203**  
**Manama - Kingdom of Bahrain**

## DECLARATION:

I hereby declare that the information provided above is true and correct. I undertake to comply with the terms and conditions of the services mentioned in this application and all rules stipulated by Bahrain Bourse in the Market Rules or under any resolution issued by Bahrain Bourse.

أقر بأن المعلومات المقدمة أعلاه صحيحة ، وأتعهد بالامتثال بالشروط و جميع القواعد التي نصت عليها بورصة البحرين في قواعد السوق أو بموجب قرار صادر عن بورصة البحرين

**Applicant Name:**

**اسم مقدم الطلب:**

**Signature:**

**التوقيع:**

## FOR BHB INTERNAL USE ONLY

## Information Technology Directorate:

**Date:**

**Service Provided**

**Type of Service Provided:**

**Employee Name:**

**Signature:**

## Trading Operations Directorate:

**Date :**

**Trading System Access Code Created:**

**Online Trading Service Code:**

**System Administrator Signature:**

**Trading Operations Director Signature:**

**COO/CEO:**

**COO/CEO Signature:**

## TERMS AND CONDITIONS:

1. The Member shall fulfill its financial obligations towards Bahrain Bourse and abide by the applicable rules, regulations and resolutions issued by the Bourse.
2. The Member shall maintain its password and shall be fully responsible for the use of the services by its authorized employees or by any unauthorized third party and shall bear all consequences that may result from the use of the services.
3. The Bourse assumes no liability for any damages or losses resulting from the temporary or permanent delay, interruption or suspension of any service due to reasons beyond the control of the Bourse, or resulting from the delay or failure to receive any announcement or information published in the trading hall.
4. Service shall be effective from the date of the Bourse's approval of the request and shall be valid until the Member requests cancellation of the service.
5. Services may be revoked at any time by the Bourse in the event that the member fails to fulfill its obligations under these terms or any applicable rules, regulations and resolutions.
6. The Bourse may amend these terms and conditions from time to time at its discretion and shall notify the Member accordingly.
7. All fees and commissions are currently exclusive of Value Added Tax (VAT). Applicable products and services will be subject to VAT effective 1st July 2019.

1. يلتزم العضو بالوفاء بالتزاماته المالية تجاه البورصة والتفقد بأحكام القواعد واللوائح والقرارات المعمول بها في البورصة.
2. يلتزم العضو بالمحافظة على كلمة المرور الخاص به، ويكون العضو مسؤولاً مسؤولية تامة عن استخدام الخدمات من قبل منسوبيه المعتمدين أو من قبل الآخرين غير المرخص لهم بذلك، وعليه تحمل كافة التبعات التي قد تترتب على استخدام الخدمات.
3. لا تتحمل البورصة أية مسؤولية عن أية أضرار أو خسائر تنتج عن تأخر أو انقطاع أو توقف أي خدمة سواء كان ذلك بشكل مؤقت أو دائم أو لأي سبب يكون خارجاً عن إرادة البورصة أو تنتج عن تأخر أو عدم استلام أي إعلان أو معلومات يتم نشرها في قاعة التداول.
4. تسري الخدمات من تاريخ الموافقة على طلب العضو وتنتهي بناءً على طلب العضو لإنهاء الخدمة.
5. يجوز إلغاء الخدمات في أي وقت من قبل البورصة في حالة فشل العضو في الوفاء بالتزاماته بموجب هذه الشروط والقواعد أو عدم مراعاة أحكام قواعد ولوائح وقرارات البورصة.
6. بحق للبورصة تعديل هذه الشروط والقواعد من وقت إلى آخر حسب تقديرها، وإخطار العضو بذلك.
7. جميع رسوم المنتجات والخدمات المعلنة حالياً غير شاملة لضريبة القيمة المضافة. ستحسب ضريبة القيمة المضافة على كافة الخدمات والمنتجات بدءاً من 1 يوليو 2019.

## APPENDIX

## Technical Requirements Guide

## Remote and Trading Service Connection

## Main Site / DR. Site (Disaster Recovery) Connectivity:

- Primary Connection: Leased Circuit - Minimum of 128 kbps
- Backup Connection: VPN - Minimum of 128kbps (Recommended)

## Online Trading Service Protocol

- Connection to BHB Trading System using Financial Information Exchange (FIX) Protocol.

## Trading System Workstation

## The Minimum Specification for Workstation:

No.	Part	Specification
1	CPU	3.0 GHz
2	Memory	2 GB
3	Monitor	19 inch
4	O/S	Windows 7 Pro