MEMBER SERVICES APPLICATION FORM



MEMBER INFORMATION

Application Date: Member Name:

Telephone No.:

Fax No.: Address: AUTHORIZED PERSON INFORMATION

Authorized Person Name:

Designation:

Telephone No.:

Mobile No.:

Email Address:

1. LEASING OFFICES IN BAHRAIN BOURSE

Permanent Office 1

BD 150 + BD 55 Trading

Floor Service Fee/office Number of Office(s)

Temporary Office Number of Office(s)

Trading Floor Service

BD 55/Office/Day

BD 55/Month

Start Date: **End Date:**

2. TRADING SYSTEM SETUP 2

From BHB Office Number of PC(s) BD 220/PC/Setup plus BD55/Connection/

PC/Month

Remote Trading

BD 220/PC/Setup plus BD55/Connection/

PC/Month

BD 165/Remote Trading/

Month

Location (1)

Address

Connectivity Type:

Lease Circuit

No. of PC(s)

Location (2)

Address

Connectivity Type:

Lease Circuit

No. of PC(s)

Location (3)

Connectivity Type:

Lease Circuit

No. of PC(s)

3. TRADING SYSTEM ACCESS CODE 3

Total Number of Users

BD 55/User/Month

Type of Access

Trader:

Firm View:

Firm Manager:

Remote Trader:

Remote Firm View: Remote Firm Manager:

Online Trader:

Online Firm View:

Online Firm Manager:

4. ONLINE TRADING SETUP

Online Trading Fix Setup

BD 1,100/Setup

Number of Setup(s)

Online Trading Service

BD 110/Request/Month

BD 11/Business Day/ message

capped at BD 165/Month

5. OTHER SERVICES

Digital Advertising On

BHB Trading Floor

Number of Day(s):

Number of Month(s):

Test Environment

(System & Connectivity)

Number of Day(s):

Start Date:

End Date:

BD 550/Each Party

Brokerage Companies Merger

Change of Company Name 4

BD 220/Request

BD 27.5/Day

Issued Reports

BD 1.1/Page capped at BD55/Report

Hard Copy Soft Copy

Number of Page(s)

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¹ Includes 1 X-Stream User Access for free & 1 Equator User Access for Free from Bahrain Clear. Trading Floor Service Fee will be waived in the event of leasing a permanent office.

² In the event that Members have both Trading & Equator System on the same PC, Only one connectivity cost shall apply.

³ (Trader, Firm Viewer, Firm Manager) require trading floor access.

⁴ This Fee is not applicable in the Event of a Merger.

MEMBER SERVICES APPLICATION FORM



INSTRUCTIONS:

- 1. Applicants must fill out all required fields.
- 2. Failure to fill out this application form as required may result in a delay in processing the application.
- Any information provided to Bahrain Bourse which is false or misleading in connection with this application may result in the rejection of the application.
- Please refer to the Appendix for the technical requirements relating to certain services.
- Queries may be addressed to the Trading Operations Directorate by phone or email:

+973 17 108-778

membersaffairs@bahrainbourse.com

6. The **Original** application form should be submitted to BHB on the following address:

Trading Operations Directorate

Bahrain Bourse

Bahrain Financial Harbour

Harbour Mall (4th Floor)

P.O. Box: 3203

Manama - Kingdom of Bahrain

DECLARATION:

I hereby declare that the information provided above is true and correct. I undertake to comply with the terms and conditions of the services mentioned in this application and all rules stipulated by Bahrain Bourse in the Market Rules or under any resolution issued by Bahrain Bourse.

أقر بأن المعلومات المقدمة أعلاه صحيحة ، وأتعهد بالإمتثال بالشروط و جميع القواعد التي نصت عليها بورصة البحرين في قواعد السوق أو بموجب قرار صادر عن بورصة البحرين

Applicant Name:

اسم مقدم الطلب: التوقيع:

Signature:

FOR BHB INTERNAL USE ONLY

Information Technology/Administration Support Directorate:

Date:

Service Provided

Type of Service Provided:

Employee Name:

Signature:

Trading Operations Directorate:

Date:

Trading System Access Code Created:

Online Trading Service Code:

 $System\ Administrator\ Signature:$

Members Affairs Signature:

Trading Operations Director:

Trading Operations Director Signature:

TERMS AND CONDITIONS:

- The Member shall fulfill its financial obligations towards Bahrain Bourse and abide by the applicable rules, regulations and resolutions issued by the Bourse.
- The Member shall maintain its password and shall be fully responsible for the use of the services by its authorized employees or by any unauthorized third party and shall bear all consequences that may result from the use of the services.
- 3. The Bourse assumes no liability for any damages or losses resulting from the temporary or permanent delay, interruption or suspension of any service due to reasons beyond the control of the Bourse, or resulting from the delay or failure to receive any announcement or information published in the trading hall
- Service shall be effective from the date of the Bourse's approval of the request and shall be valid until the Member requests cancellation of the service.
- Services may be revoked at any time by the Bourse in the event that the member fails to fulfill its obligations under these terms or any applicable rules, regulations and resolutions.
- The Bourse may amend these terms and conditions from time to time at its discretion and shall notify the Member accordingly.
- 7. All fees and commissions are currently inclusive of Value Added Tax (VAT), unless otherwise prescribed.
- ُ. يلتزم العضو بالوفء بالنزامات، المالية تجاه البورصة والنقيد بأحكام القواعد واللوانح والقرارات المعمول بها في الدرصة
- ر بيتر ما لمحافظة على كلمة المرور الخاص به، ويكون العضو مسئول مسئولية تامة عن استخدام الخدمات من قبل منسوبيه المعتمدين أو من قبل الأخرين غير المرخص لهم بذلك، وعليه تحمل كافة التبعات التي قد تترتب على استخدام الخدمات.
- على متلخام محسب. .. لا تتحمل البورصة أية مسئولية عن أية أضرار أو خسائر تنتج عن تأخر أو انقطاع أو توقف أي خدمة سواءً كان ذلك بشكل مؤقف أو دائم أو لأي سبب يكون خارجاً عن إرادة البورصة أو تنتج عن تأخر أو عدم استلام أي إعلان أو معلومات بتم نشرها في قاعة التداول.
 - تُسري الخدماتُ من تاريخُ الموافقة على طلب العضو وتنتهي بناءً على طلب العضو لإنهاء الخدمة.
- ... بعوز الغاء الخدمات في أي وقت من قبل البورصة في حالةً فشل العضو في الوفاء بالنزاماته بموجب هذه الشروط و القواعد أو عدم مراعاة أحكام قواعد ولوانح وقرارات البورصة. و القواعد أو عدم مراعاة أحكام قواعد ولوانح وقرارات البورصة.
 - . يحق للبورصة تعديل هذه الشروط والقواعد من وقت إلى أخر حسب تقديرها، وإخطار العضو بذلك.
 . جميع رسوم المنتجات والخدمات المعلنة حالياً شاملة لضريبة القيمة المرضافة مالم يذكر غير ذلك.

APPENDIX

Technical Requirements Guide

Remote and Trading Service Connection

Main Site / DR. Site (Disaster Recovery) Connectivity:

- Primary Connection: Leased Circuit Minimum of 128 kbps
- Backup Connection: VPN Minimum of 128kbps (Recommended)

Online Trading Service Protocol

 Connection to BHB Trading System using Financial Information Exchange (FIX) Protocol.

Trading System Workstation

The Minimum Specification for Workstation:

No.	Part	Specification
1	CPU	Intel i5/i10/i11 or AMD Ryzen3
2	Memory	8 GB RAM
3	Monitor	19 inch
4	O/S	Windows 10 Pro (Genuine/Licensed)
5	Antivirus	-

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