

# APPLICATION FORM

بورصة البحرين  
BAHRAIN BOURSE



Date \_\_\_\_\_  
Company Name \_\_\_\_\_  
Contact Person \_\_\_\_\_  
Title \_\_\_\_\_  
Contact Details \_\_\_\_\_

Address \_\_\_\_\_  
P.O. Box \_\_\_\_\_  
Email \_\_\_\_\_  
Phone \_\_\_\_\_  
Fax \_\_\_\_\_

## 1. APPLICANTS AND ISSUERS FEES

### 1.1. Equity Securities<sup>1</sup>

Equities	Registration Fees	Annual Subscription Fees
Local Equities (Public Shareholding Companies)	0.1% of the company's paid-up capital plus VAT, (Minimum of BD 5,250 and Maximum of BD 15,750)	<b>Paid-up Capital Fee</b> The first BD 10 Million of the company's paid-up capital 0.13% of Paid-up Capital plus VAT Amounts exceeding BD10 Million up to BD50 Million 0.07% of Paid-up Capital plus VAT Amounts exceeding BD 50 Million 0.035% of Paid-up Capital plus VAT (Minimum of BD 5,250 and Maximum of BD 84,000)
Local Closed Shareholding Companies	BD 1,050	0.025% of the company's paid-up capital plus VAT (Minimum of BD 1,050 and Maximum of BD 6,300)
Non-Bahraini Equities	0.1% of the company's paid-up capital  (Minimum of BD 2,100 and Maximum of BD 5,250)	15% of the Fee applicable on Bahraini shareholding companies plus VAT  (Minimum of BD 2,100 and Maximum of BD 12,600)
Preference Shares	0.5% of the par value plus VAT of the outstanding shares (Minimum of BD 2,100 and Maximum of BD 5,250)	BD 2,100

### 1.2. Debt Securities

Issuer Type	Registration Fees	Annual Subscription Fees
Government	BD 1,575	BD 2,100
Private & Non-Bahraini	0.05% of Total Value plus VAT (Minimum of BD 2,100; Maximum of BD 5,250)	BD 2,100
Treasury Bills	BD 31.5 payable upon listing (one-off payment)	

### 1.3. Investment Funds & Real Estate Investment Trusts (REITs)

Fund Type	Registration Fees	Annual Subscription Fees
Mutual Funds	BD 315	BD 262.5
REITs	BD 2,100	BD 5,250 (for units amounting up to USD 250 Million) BD 10,500 (for units amounting above USD 250 Million)

### 1.4. Bahrain Investment Market

	Registration Fees	Annual Subscription Fees
Bahrain Investment Market (Companies)	BD 1,050	0.3% of the company's paid-up capital plus VAT (Minimum of BD1,050 and Maximum of BD6,300)
Bahrain Investment Market (Sponsors)	Not Applicable	BD 2,100

### 1.5. Issuer Services

Fees	Frequency
Change of Company Name or Trading Symbol (or both) <sup>2</sup>	BD 525 Applicable per Request
Proof of Listing Letter	BD 10.5 Applicable per Request

### 1.6. Corporate Actions

Fees	Frequency
Capital Change	BD 0.525/shareholder; min BD 315 and max BD 5,250 Applicable per Request
Company Merge/ Takeover/ Acquisition/ Share Swap	BD 0.525/shareholder; min BD 315 and max BD 5,250 Applicable per Request
Stock Splits	BD 0.525/shareholder; min BD 315 and max BD 5,250 Applicable per Request
Bonus Shares	BD 0.525/shareholder; min BD 315 and max BD 5,250 Applicable per Request
Other (e.g. rights issue)	BD 0.525/shareholder; min BD 315 and max BD 5,250 Applicable per Request
Delisting Processing Fee <sup>3</sup>	BD 105/per request Applicable per Request

## 2. MEMBER FEES

### 2.1. Membership Registration & Annual Subscription

	Registration Fees <sup>4</sup>	Annual Subscription Fees <sup>5</sup>
Discount Broker	BD 1,050	BD 525
Broker	BD 1,050	BD 525
Broker-Dealer	BD 5,250	BD 1,050
External Broker	BD 5,250	BD 1,050
External Broker-Dealer	BD 5,250	BD 1,050
Authorized Trader	BD 5,250	BD 1,050

<sup>1</sup> The registration and annual subscription fees for shareholding companies whose securities are accepted for trading on Bahrain Bourse is calculated based on the company's total paid up capital, which includes ordinary shares and preference shares.

<sup>2</sup> Only applicable in the event of elective change of name/symbol.

<sup>3</sup> Fees shall not be applicable in the event of maturity of security.

<sup>4</sup> Applicable upon Admission.

<sup>5</sup> Applicable per Annum

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## 2.2. Member Services

	Fees	Frequency
Leasing Broker Offices <sup>6</sup>	BD 150 + BD 52.5 Trading Floor Service fee/ office	Applicable per Request
Online Trading Fee No. of Users Required	BD 105/month	Applicable per Month
Remote Trading Workstation Service Fee No. of Workstations Required	BD 157.5/request	Applicable per Month
Broker Digital Advertising on BHB Trading Floor Start Date End Date	BD 10.5/business day/ message capped at BD 157.5/month	Applicable per Request
Temporary Office Use in BHB (Depending on availability) Start Date End Date	BD 52.5/office/day	Applicable per Request
Test Environment for Brokerage Companies (System & Connectivity) Start Date End Date	BD 26.25/day	Applicable per Request
Brokerage Companies Merger	BD 525 from each party	Applicable per Request
Brokerage Company - Change of Name Request <sup>7</sup>	BD 210 for each application	Applicable per Request

## 2.3. Electronic Connection with Trading System

	Fees	Frequency
Initial setup per PC (one-off payment) Start Date End Date	BD 210/PC	Applicable per PC
Online User FIX Setup (one-off payment) No. of Users Required	BD 1,050/setup	Applicable per Setup
Trading System User Access No. of Users Required	BD 52.5/user/month	Applicable per User per Month
Password Reset	BD 5.25/request	Applicable per Request
Connectivity Cost per Workstation <sup>8</sup> No. of Connections Required	BD 52.5/connection/month	Applicable per Connection per Month

## 2.4. Brokerage Commission

	Brokerage Commission <sup>9</sup>	BHB's Commission <sup>10</sup>	Frequency
Equities	0.275% of Transaction Value	20% plus VAT	Applicable per Transaction (Buy & Sell)
Fixed Income (Bonds & Sukuk)	0.08% of Transaction Value	20% plus VAT	
Treasury Bills	0.01% of Transaction Value	20% plus VAT	
REITs	0.275% of Transaction Value	20% plus VAT	
Bahrain Trade Platform	0.20% of Transaction Value	20% plus VAT	

## 2.5. Issued Reports

	Fees	Frequency
Issued Reports (soft or hard copy)	BD 1.05/page capped at BD 52.5/report	Applicable per Request

## 3. INFORMATION PRODUCTS FEES

### 3.1. Information Products <sup>11</sup>

	Real-time Information	Delayed Information	Frequency
Market Coverage	BD 6,000	BD 3,600	Applicable per Annum
Information Vending Enterprise License, Limited Business Use	BD 10,000	N/A	
Information Vending Enterprise License, Unlimited Business Use	BD 18,000	N/A	
Information Vending Enterprise License, Unlimited Use	BD 20,000	N/A	
Trading Enterprise License (Members of Bahrain Bourse only)	BD 10,000	N/A	
Subscriber Enterprise License (Subscribers under direct Agreement with BHB)	BD 4,000	N/A	
Historic Information, End of Day database, Delayed Data	N/A	BD 4,000	
Corporate Actions	N/A	BD 2,000	
Issuer information	N/A	BD 1,000	
Website Ticker	N/A	BD 2,000	

### 3.2. Individual Access

	Fees	Frequency
Market Coverage Private Use	BD 5/user	Applicable per Month
Market Coverage Business Use	BD 10/user	Applicable per Month

### 3.3. Connection Administration

	Fees	Frequency
First Connection to BHB's Primary Data Centre	BD 3,500	Applicable per Annum
Each Additional Connection to BHB's Primary Data Centre	BD 2,500	
First Connection to BHB's Disaster Recovery Data Centre	BD 1,000	
Each Additional Connection to BHB's Disaster Recovery Data Center	BD 800	

<sup>6</sup> Includes 1 Trading User Access for free & 1 Clearing, Settlement, Central Depository & Registry System User Access for free from Bahrain Clear.

<sup>7</sup> This fee is not applicable in the event of a merger

<sup>8</sup> In the event that Members have both Trading & Clearing, Settlement, Central Depository & Registry System on the same PC, only one connectivity cost shall apply

<sup>9</sup> Minimum Commissions of BD 3.15

<sup>10</sup> BHB's Commission shall be a percentage of the prescribed brokerage commission

<sup>11</sup> All Information Products are subject to a separate Information License Agreement. Prices denoted above are exclusive of VAT. For companies domiciled in Bahrain, additional VAT will be applicable. For companies domiciled outside of Bahrain, information product fees will be zero-rated.



## 4. ADVERTISING, SPONSORSHIP & OTHER FEES

### 4.1. Website Advertising

	Fees	Frequency
Monthly - Homepage – Top Banner	BD 2,100	Applicable per Request
Monthly - Homepage – Right Hand Side	BD 1,050	Applicable per Request
Quarterly – Top Banner	BD 5,250	Applicable per Request
Quarterly – Right Hand Side	BD 3,150	Applicable per Request
Bi-Annual – Top Banner	BD 9,450	Applicable per Request
Bi-Annual – Right Hand Side	BD 5,250	Applicable per Request
Yearly – Top Banner	BD 15,750	Applicable per Request
Yearly – Right Hand Side	BD 8,400	Applicable per Request

### 4.2. Outdoor LED Ticker Advert Display

	Fees	Frequency
Daily	BD 78.75	Applicable per Request
Weekly	BD 472.5	Applicable per Request
Monthly	BD 1,575	Applicable per Request
3 Months	BD 4,016.25	Applicable per Request
Annual	BD 15,120	Applicable per Request
Eid Advert Package (3 occasions)	BD 1,260	Applicable per Request
Eid Advert Package (2 occasions)	BD 840	Applicable per Request
Eid Advert Package (1 occasion)	BD 525	Applicable per Request
Advertisement Production	BD 420	Applicable per Request

Start Date  
End Date

### 4.3. Investors Guide

	Fees	Frequency
Front Cover Advertisement - Panorama	BD 4,200	Applicable per Request
Back Cover Advertisement	BD 2,625	Applicable per Request
Front Inside Cover – Double Page	BD 3,150	Applicable per Request
Front Inside Cover – Single Page	BD 2,100	Applicable per Request
Inside Back Cover – Double Page	BD 3,150	Applicable per Request
Inside Back Cover – Single Page	BD 2,100	Applicable per Request
Inside - Single Page	BD 1,050	Applicable per Request
Inside – Double Page	BD 1,575	Applicable per Request
Span	BD 2,625	Applicable per Request

Authorized Signatory Name:

Signature:

Date:

Company Stamp:

Comments (if any):

### 4.4. Business Centre Rental

	Fees	Frequency
Half Day (1-5 Hours)	BD 315	Applicable per Request
Full Day (5 Hours & Above)	BD 472.5	Applicable per Request

### 4.5. TradeQuest Sponsorship

	Fees	Frequency
Strategic Sponsor	BD 8,400	Applicable per Annum
Platinum Sponsor	BD 5,250	Applicable per Annum
Gold Sponsor	BD 3,150	Applicable per Annum
Silver Sponsor	BD 1,575	Applicable per Annum

### 4.6. Smart Investor Sponsorship

	Fees	Frequency
Strategic Sponsor	BD 52,500	Applicable per Annum
Platinum Sponsor	BD 21,000	Applicable per Annum
Gold Sponsor	BD 10,500	Applicable per Annum
Silver Sponsor	BD 5,250	Applicable per Annum

### 4.7. Mobile App Ads

	Fees	Frequency
Exclusive	BD 4,200	Applicable per Annum
Non-exclusive	BD 2,100	Applicable per Annum

#### Terms & Conditions

- This overview document contains details of fees charged by Bahrain Bourse for all products and services available.
- All amounts are denoted in Bahraini Dinars unless otherwise noted.
- Bahrain Bourse reserves the right to review and amend, from time to time and at its sole discretion, its fees and commissions.
- Bahrain Bourse shall notify market participants of the amendments in a manner that Bahrain Bourse deems appropriate.
- Fees and commissions for any services not mentioned above are available on request.
- All fees and commissions are currently inclusive of Value Added Tax (VAT). Applicable products and services will be subject to VAT effective 1<sup>st</sup> July 2019.
- All payments made are non-refundable.

(For Internal Use only)

#### Recommendation

Name of Department:

Recommended Comments:

Not Recommended:

Prepared by:

Approved by:

Date:

#### COO/CEO Decision

Agree

Signature:

Disagree Comments:

Date: